# UPPE-FDTD user documentation

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## Contents

1	Inst	allation	<b>2</b>
<b>2</b>	$\mathbf{Sim}$	ulation Parameters -	
	Inp	ut File Syntax	<b>2</b>
	2.1	Introduction	2
	2.2	General Remarks	2
	2.3	Table of Media	4
	2.4	Table of Keywords	4
	2.5	Postprocessings	6
		2.5.1 Energy conversion efficiency	6
	2.6	UPPE - specific keywords	6
		2.6.1 ODE parameters	6
	2.7	Exemplary configuration files	7
		2.7.1 UPPE	7
		2.7.2 FDTD	8
3	Gra	phical User Interface Manual	9
	3.1	Introduction	9
	3.2	Main Application Window	9
	3.3	UPPE-specific information	10
	3.4	Application Menus	11
		3.4.1 File Menu	11
		3.4.2 View Menu	11
		3.4.3 Window Menu	13
	3.5	Output files	13

#### Abstract

This report documents how to install and use the UPPE-FDTD electromagnetic simulator.

### 1 Installation

The software requires the following libraries to run:

- $1. \ {\rm Qt} \ 5.14.2$
- $2. \ \mathrm{Qwt} \ 6.1.4$
- 3. FFTW 3.3.8
- $4. \ \mathrm{GSL} \ 2.3$

## 2 Simulation Parameters -Input File Syntax

#### 2.1 Introduction

For the convenience of the immediate users of the software, the simulation parameters input file syntax has been designed to closely resemble the one of QuickWave-3D (QW-3D) Simulator's \*.pa3 (general parameters) and \*.sh3 (circuit shape) files. Section 2.2 contains general background and guidelines on preparing the input file. Section 2.3 includes a table of supported materials and lists their required parameters. Section 2.4 includes a table of simulation keywords and their detailed description. An example input file is provided.

#### 2.2 General Remarks

- 1. To identify the line containing a particular parameter, an elaborated parser searches for the keywords listed in table 2. To begin with, the first occurrences of the keywords listed in the *Basic* section are searched for, and if found, their corresponding parameters are attempted to be read. Next, if all the reads are successful, the parser searches for at least one complete set of keywords from the *Shape* section and attempts to read their parameters. The simulation cannot start unless all the aforementioned reads are successful. Specifying all other commands is optional, however the simulator will issue errors if their definitions are incomplete or contain obvious errors.
- 2. The parser issues four kinds of errors:
  - Syntax error if the value of the parameter does not belong to the allowed range;
  - *keyword "keyword" not found error* if an obligatory in a given context keyword is not found;
  - Unequal number of keywords in the SHAPE section error if the number of keywords for the medium types, their parameters and their number of cells are not equal;
  - User defined pulse could not be read if a file with the provided name does not exist, does not contain any data or the data is obviously erroneous.

- 3. If a user defined excitation is desired, the provided file should contain columnar E field values in the consecutive time steps. Comments are allowed.
- 4. Comments are preceded with the "#" character and extend to the end of the line. A line is considered to be a comment only if the "#" character is its first non-whitespace character. It is recommended to comment out lines that do not contain relevant text to limit the number of potential errors.
- 5. Each line which does not start with a "#" character and contains a keyword must begin with either a whitespace or a simulation parameter. Keywords and additional non-whitespace strings must be placed after all parameters in the given line have been enumerated. Parameters must be separated from each other, from keywords and from any additional strings by whitespaces only.

The keywords on the other hand can be part of a longer non-whitespace string.

- 6. Two kinds of postprocessings have been provided. *Fourier postprocessing* computes the discrete Fourier transform (DFT) of a signal at specified frequencies, location in the grid, and range of finite-difference time-domain (FDTD) iterations. *Time postprocessing* saves a specified number of signal samples at a specified location and range of FDTD iterations.
- 7. The parser is case insensitive, i.e. does not distinguish between lowercase and uppercase letters. However, the names of files with postprocessing results saved via the *Save All* option follow the specified capitalization.
- 8. The convenience keyword end has been provided. It performs two functions:
  - if used as the *lumped z-location* parameter, it instructs the simulator to place the load at the end of the defined transmission line;
  - if used as the *itend* parameter, it instructs the simulator to keep performing the postprocessing for as long as possible.
- 9. If the 1DP or the 1DCP problem type is chosen, the parser will take only the first of the specified media into account. In addition, loads are ignored in these problem types. One-dimensional periodic (1DP) problems are normally used with total field/scatter field (TF/SF) sources, and one-dimensional conditionally periodic (1DCP) problems with a single hard source.

#### Table of Media $\mathbf{2.3}$

Medium type	keyword	parameters(in order)	
Lossless dielectric	diel	$\epsilon_r, \mu_r$	
Lossy dielectric	ldiel	$\epsilon_r, \mu_r, \sigma$	
Lorentz single-pole	lor1	$\epsilon_{\infty}, \mu_r, \sigma, \epsilon_s, f_c, f_p$ , (optional) frequency unit <sup>1</sup>	
dispersive			
Lorentz double-pole	lor2	$\epsilon_{\infty}, \mu_r, \sigma, \epsilon_s, f_{c1}, f_{p1}, A_1, f_{c2}, f_{p2}, A_2$ , (optional)	
dispersive		frequency unit	
Lorentz triple-pole	lor3	$\epsilon_{\infty}, \mu_r, \sigma, \epsilon_s, f_{c1}, f_{p1}, A_1, f_{c2}, f_{p2}, A_2, f_{c3}, f_{p3}, A_3,$	
dispersive		(optional) frequency unit	
Kerr and single-pole	ker1	$\epsilon_r, \mu_r, \chi^{(3)}, \tau_1, \tau_2, \alpha$ , (optional) threshold <sup>2</sup>	
Raman nonlinear			
Kerr and single-pole	lor3ker1	$\epsilon_{\infty}, \mu_r, \sigma, \epsilon_s, f_{c1}, f_{p1}, A_1, f_{c2}, f_{p2}, A_2, f_{c3}, f_{p3}, A_3,$	
Raman nonlinear &		$\chi^{(3)}, \tau_1, \tau_2, \alpha$ , (optional) threshold, (optional)	
Lorentz triple-pole		frequency unit	
dispersive			
UPPE			
Kerr and single-pole	const_n_ker1	$\operatorname{Re} n, \operatorname{Im} n, \chi^{(3)}, \tau_1, \tau_2, \alpha$	
Raman nonlinear with a			
constant complex			
refractive index			
Kerr and single-pole	step_n_ker1	$\operatorname{Re} n_1, \operatorname{Im} n_1, f_{step}(THz), \operatorname{Re} n_2, \operatorname{Im} n_2, \chi^{(3)}, \tau_1, \tau_2, \alpha$	
Raman nonlinear with a			
step in the constant			
complex refractive index			

Table 1: Types of media.

 $^1$  If not provided, the frequency unit is assumed to be GHz  $^2$  If not provided, the threshold is assumed to be equal to 0.01

#### $\mathbf{2.4}$ Table of Keywords

The following table lists the simulation parameters input file keywords. It is recommended to arrange the keywords in the file in logical sections, as has been shown in the table.

Section	Keyword	Description	Range	Required
	stability	Courant stability factor	positive floating	yes
Basic			point number (FPN)	
	measure	FDTD cell size physical	mm, um, nm	yes
		unit		
	type	problem type	1D, 1DP, 1DCP	yes

Continued on next page

Section	Keyword	Description	Range	Required
	size	cell size	positive FPN	yes
Shape	meshpoints	number of cells	positive integer	yes
	medium	type of medium	see table 1	yes
	parameters	medium parameters	see table 1	yes
	geometry	excitation geometry	point, plane_wave	as first <sup>1</sup>
	z-location	excitation location	integer from 1 to the	yes
			total number of cells	
	shape	pulse shape	sine, Gauss, user	
Sources	file	file name	String	if user
	3  dB	3 dB band of Gauss	positive FPN	if Gauss
		spectrum		
	amplitude	source impedance,	positive FPN,	yes
		amplitude,	FPN,	
		delay in nanoseconds	positive FPN	
	funit	frequency unit	Hz, kHz, MHz, GHz, THz, PHz	unless user
	centre	carrier frequency	positive FPN	unless user
	duration	number of carrier periods	positive FPN	unless user
Lord	lumped	lumped load impedance	positive FPN	as first
LUau	(first			
	occurrence)			
	lumped	lumped load location	from 1 to the total	yes
	(second		number of cells or	
	occurrence)		end	
			(see section $2.2$	
			item 8)	
	typeOf	type of postprocessing	Fourier, time	as first
	name	postprocessing name	any string	yes
		(default for saved files	terminated with a	
		and plot titles)	whitespace and the	
Postprocessings			"*" character	
	z-location	probe location	from 1 to the total	yes
			number of cells	
	funit	frequency unit	Hz, kHz, MHz, GHz, THz, PHz	if Fourier
	fstart	start,	positive FPN	if Fourier
		step	positive FPN	
		and stop frequency	positive FPN	
	itstart	first iteration	positive integer	yes
	itend	last iteration	positive integer or	yes
			$\mid$ end	
	use	whether to repeat the	0 if not to repeat,	yes
		postprocessing	else any other	
			integer number	

Table 2 – Continued from previous page

Continued on next page

Section	Keyword	Description	Range	Required
	every	repetition period	positive integer,	yes
		(in iterations),	positive integer	
		repetition limit		
		(in iterations)		
Brookpoints	action	the action to be	suspend	as first
Dieakpoints		performed		
	iterations	iteration at which the	positive integer	yes
		action is taken		
	code	whether to time the	0 if not to time, else	yes
Timing		FDTD iterations	any other integer	
			number	
	period	number of FDTD	positive integer	if timing
		iterations to be timed		
		periodically		
	file	file name to which the	string	if timing
		results are written		

Table 2 – Continued from previous page

#### 2.5 Postprocessings

#### 2.5.1 Energy conversion efficiency

- conversionEfficiency \*typeOfPostprocessing
- test \*postprocessing name monitor at a single frequency if just one number is present may be completely empty, in that case do not display the conversion efficiency but just the total energy on the plot
- 0.5 10 \*start stop If *start* and *stop* are equal, computations will be performed at a single frequency.

#### 2.6 UPPE - specific keywords

#### 2.6.1 ODE parameters

• 1 \*fixedstep

If 1, the ODE solver step is fixed and given by evolution step. If 0, the solver step is chosen adaptively, the first attempt is made with initial integstep. In most cases, adaptive steps unnecessarily length en the simulation.

• rkck \*method

Available methods: gsl\_odeiv2\_step\_rkf45, gsl\_odeiv2\_step\_rkck, gsl\_odeiv2\_step\_rk8pd. The letters after the last underscore constitute the keyword.

<sup>&</sup>lt;sup>1</sup>If specified, all the other keywords in the section are searched for in lines occurring after this keyword

• 1e-6 \*initialintegstep

Initial integration step. Ignored if fixed step = 1.

• 1e-4 \*tolerance

ODE solution tolerance. Influences the simulation speed in distance per unit time by itself.

• 3e-6 \*evolutionstep

Evolution step set in the ODE solver. This is the integration step only if fixedStep = 1. After the solver covers this distance, the E and A variables are realigned.

• 0 \*fshift

artificial spectral shift of the signal. fshift = 0 and use general = 1 can be used for testing the general transforms.

• thz \*funit

unit of fshift

• 0 \*usegeneral

If usegeneral = 1, general transforms are used (for a complex signal). If usegeneral = 0, simplified transforms are used (assuming a real signal at the input).

### 2.7 Exemplary configuration files

#### 2.7.1 UPPE

```
#ODE PARAMETERS
1 *fixedStep
#available methods: gsl_odeiv2_step_rkf45, gsl_odeiv2_step_rkck,
    gsl_odeiv2_step_rk8pd
rkck * method
1e-7 *initialintegstep
1e-8 * tolerance
1e-7 *evolutionstep
0 * fshift
thz *funit
0 * usegeneral
#SOURCES - IR
gauss *excitation pulse shape
thz *funit
ps *tunit
6e8 0 *amplitude & delay
192.307 *centre
0.648 *3dB
6.64 *timeduration
8192 *timepoints
#SOURCES - optical
gauss *excitation pulse shape
thz *funit
ps *tunit
6e8 0 *amplitude & delay
394.614 *centre
0.648 *3dB
```

```
6.64 *timeduration
8192 *timepoints
#SHAPE
lor3ker1 *medium
1.0 1.0 0 3.5 14.5 2641520 1 14.5 1288190 0.015976 145 29521.3 3.99634 1e-21
          5.5 32 1 *parameters
mm *unit of measure
1.5 *size
#BREAKPOINTS
saveAll *action
0 *iterations
UPPE_terahertz_generation *filename
exit *action
0 *iterations
```

#### 2.7.2 FDTD

```
#GENERAL
2.0 *stability factor
um *unit of measure
1d *problem type
12\ *{\rm maximum}\ {\rm number}\ {\rm of}\ {\rm threads}
0 *physical distance
#SOURCES
point * excitation geometry
gauss * excitation pulse shape
1  * excitation z-location
thz *funit
ps *tunit
0 6e8 0 *source impedance, amplitude & delay
192.307 *centre
0.648 * 3 dB
319 *duration
point * excitation geometry
gauss * excitation pulse shape
1\ * excitation\ z-location
thz *funit
ps *tunit
0 6e8 0 *source impedance, amplitude & delay
394.614 *centre
0.648 *3dB
638 *duration
#SHAPE
0.030 *cell size (for all cells in structure)
lor3ker1 *medium
1.0 \ 1.0 \ 0 \ 3.5 \ 14.5 \ 2641520 \ 1 \ 14.5 \ 1288190 \ 0.015976 \ 145 \ 29521.3 \ 3.99634 \ 3e-20 \ 5.5
    32 1 0 *parameters
60000 * meshpoints
#POSTPROCESSINGS
spectrogram * typeOf postprocessing
spectrogram_THz *postprocessing name
```

```
2 0.1 20 *start step end
5e4 0 5e4 *loc_start loc_step loc_end
thz *anyunit
#TIMIING
0 *code
#BREAKPOINTS
saveAll *action
0 *iterations
FDTD_terahertz_generation *filename
exit *action
0 *iterations
```

## 3 Graphical User Interface Manual

#### 3.1 Introduction

The graphical user interface (GUI) of the developed simulator has been created according to the multiple document interface (MDI) paradigm, in which the main application window manages subwindows in its central area. The software is based in part on the work of the Qt Widgets for Technical Applications (Qwt) project (http://qwt.sf.net) and the Qt project (http://www.qt.io).

#### 3.2 Main Application Window

Running the executable opens the main application window (fig. 1).

📑 MySimulato	or			
File View Wind	wot			 
Start	Stop	Pause	Resume	
				 1.

Figure 1: Main application window.

The dialog, from which the user chooses the simulation parameters input file, can be opened via the keyboard shortcut Ctrl+L or the  $File_{\dot{c}}Load$  menu option (see section 3.4). If the file is successfully parsed, the start button will become active and the full path to the loaded file will be visible in the status bar. Once started, the simulation can be stopped (aborted), paused and resumed at any time via the corresponding buttons. The number of executed FDTD iterations is shown in the status bar, and is refreshed every second. Stopping the simulation requires additional user confirmation. It is possible to automatically start the simulation immediately after the application is run if the full path of a simulation parameters input file is provided in the *Settings.txt* file, which must be placed in the same directory as the executable. The beginning of the first non-empty line of *Settings.txt* must contain a non-zero integer number. Its second non-empty line must contain the absolute file path.

#### 3.3 UPPE-specific information

Bottom right corner: First number: distance covered in 1s Second number: total distance covered so far in m.

#### 3.4 Application Menus

The application menus are shown in fig. 2.



Figure 2: Application menus.

#### 3.4.1 File Menu

- *Load* opens the file dialog so that the simulation parameters input file can be chosen for loading. Active only if no simulation is running.
- Save As opens the file dialog so that the simulation results that pertain to the active subwindow (see section 3.4.2) can be saved to a text file under a chosen name.
- *Save All* creates a folder in the current directory with the a name containing the current date and time and saves all simulation results to text files in the created folder. The file names are the subwindow plot titles.
- *Exit* exits the application. The user is asked for confirmation if a simulation is running.

#### 3.4.2 View Menu

Starting the simulation enables the view menu options, by which the simulation results can be visualized inplot subwindows, which allfollow  $_{\mathrm{the}}$ layout showninfig. 3. It can be chosen whether or not to refresh a results subwindow via the *Refresh* checkbox. The refresh rate expressed in FDTD iterations can be specified in the adjacent text box.

- Electric field envelope displays the spatial distribution of the electric field along the full length of the FDTD model. The envelope lines (marked in blue in fig. 3) can be drawn and hidden via the keyboard shortcut Shift+E and refreshed via the E key.
- *Magnetic field envelope* displays the spatial distribution of the magnetic field along the full length of the FDTD model. The envelope lines can be drawn as described in the previous item.
- Spectrum and Time series plot display selection dialogs containing the names of the previously defined postprocessings (see fig. 4). The results of the selected postprocessings are displayed in separate subwindows. Multiple selections are allowed. If only one postprocessing of either type has been specified, the corresponding results subwindow is displayed with the omission of the selection dialog.



Figure 3: Simulation results subwindow of the electric field spatial distribution of a Gaussian pulse (black) propagating in a linear dispersive medium. Field envelope line is marked in blue.

🔜 Dialog Window	? ×					
Select prostprocessing(s)						
fourier1						
fourier2						
fourier3						
fourier4						
fourier5						
fourier6						
fourier7						
fourier8						
fourier9						
fourier10						
fourier11						
fourier12	-					
Ifourier13						
Ok	Cancel					

Figure 4: Postprocessings selection dialog.

#### 3.4.3 Window Menu

- *Close* closes the active subwindow.
- Close All closes all subwindows.
- *Tile* places the currently displayed subwindows next to each other.
- Cascade layers the currently displayed subwindows on top of each other.

#### 3.5 Output files

The UPPE method provides the following output files:

- The time-domain input signal
- The input spectrum
- The output time-domain signal
- The output spectrum

The FDTD method provides the following output files:

- Electric field in space
- Magnetic field in space
- Defined time- and frequency-probes

## References

- [1] Weisstein, Eric W. "Cubic Formula." From MathWorld-A Wolfram Web Resource, https://mathworld.wolfram.com/CubicFormula.html
- [2] Sergey Khashin, PhD. Solution of cubic and quartic equations C++. http://math.ivanovo.ac.ru/dalgebra/Khashin/poly/index.html